

Docket No.: N9810.0032/P032

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Harry A. Dugger, III, Ph.D.

Application No.: 10/663,817

Confirmation No.: 4051

Filed: September 17, 2003

Art Unit: 1616

For:

BUCCAL, POLAR AND NON-POLAR

SPRAY OR CAPSULE

Examiner: M. Haghighatian

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicant(s) hereby petition(s) that the 06/30/2006 JADDO1 00000074 10663817 Information Disclosure Statement be considered.

01 FC:1806 180.00 OP Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Those documents which are marked with a double asterisk (**) next to the Cite No. in the attached form PTO/SB/08 (facsimile) are not supplied because they were previously cited by or submitted to the Office in a prior application number 10/100,156, filed March 18, 2002 and relied upon in this application for an earlier filing date under 35 U.S.C. 120.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Credit Card in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed

Application No.: 10/663,817 Docket No.: N9810.0032/P032

herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. N9810.0032/P032.

Dated: June 29, 2006

Respectfully submitted,

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PTO/SB/08a/b (07-05)

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Sul	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/663,817-Conf. #4051	
11	NFORMATIO	N DI	SCLOSURE	Filing Date	September 17, 2003	
S	TATEMENT	BY A	APPLICANT	First Named Inventor	Harry A. Dugger, III, Ph.D.	
				Art Unit	1616	
	(Use as many sheets as necessary)			Examiner Name	M. Haghighatian	
Sheet	Sheet 2 of 4		Attorney Docket Number	N9810.0032/P032		

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Sub	Substitute for form 1449A/B/PTO		Complete if Known		
				Application Number	10/663,817-Conf. #4051
IN	NFORMATIO	ON DIS	SCLOSURE	Filing Date	September 17, 2003
S	STATEMENT BY APPLICANT			First Named Inventor	Harry A. Dugger, III, Ph.D.
				Art Unit	1616
	(Use as many sheets as necessary)			Examiner Name	M. Haghighatian
Sheet	1	of	4	Attorney Docket Number	N9810.0032/P032

	•		U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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S	TATEMENT I	ЗҮ А	PPLICANT	First Named Inventor	Harry A. Dugger, III, Ph.D.	
				Art Unit	1616	
	(Use as many sheets as necessary)			Examiner Name	M. Haghighatian	
Sheet	Sheet 3 of 4		Attorney Docket Number	N9810.0032/P032		

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_		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Sheet	4	of	4	Attorney Docket Number	N9810.0032/P032	

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CZ	Physician's Desk Reference, 1995, pp 858-861, 2436-2441, 2548-2550	
CA1	Written Opinion of the International Searching Authority – 2/28/06	

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